

SANTANDER US CAPITAL MARKETS LLC
SELECTED FCM REGULATORY CAPITAL DATA
PER CFTC REGULATION 1.55 (O)(1)(IV)

PERIOD	TENTATIVE NET CAPITAL	NET CAPITAL	EXCESS NET CAPITAL
JAN 2024	805,531,440	617,758,171	451,676,863
DEC 2023	733,737,087	603,762,495	438,167,981
NOV 2023	648,603,586	501,987,149	334,159,948
OCT 2023	639,369,496	530,277,149	345,966,154
SEP 2023	715,337,829	588,117,891	409,180,592
AUG 2023	734,062,081	609,452,834	432,212,015
JUL 2023	1,025,432,912	490,218,916	305,519,245
JUN 2023	736,165,165	624,079,727	437,756,576
MAY 2023	730,582,386	468,002,091	275,810,718
APR 2023	687,464,776	565,444,225	382,182,905
MAR 2023	735,514,913	615,037,148	425,418,206
FEB 2023	707,434,505	498,308,937	313,525,077
*JAN 2023	455,123,494	366,174,697	180,192,537

*Net capital data for Santander Investment Securities Inc. At the close of business February 3, 2023, Santander Investment Securities Inc. merged with Amherst Pierpont Securities LLC and changed its name to Santander US Capital Markets LLC.

Amounts sourced from the Santander Investment Securities/Santander US Capital Markets LLC Focus Reports filed with the SEC and CFTC in accordance with SEA rule 17a-5